

1     **MINIMAL THICKNESS, DOUBLE-SIDED FLANGES FOR ULTRA-HIGH VACUUM**  
2                                   **COMPONENTS**

3  
4                   **Cross-Reference to Related Applications**

5           The present application claims the benefit of U.S. provisional application serial number  
6   60/243,526, filed on October 26, 2000, the teachings of which are incorporated herein by  
7   reference.

8  
9                   **Field of Invention**

10          This invention relates to ultra-high vacuum systems, specifically to a system for the  
11   insertion of components between two standard thickness flanges.

12  
13                   **Background of the Invention**

14          Vacuum systems find wide applications in research, education, product development, and  
15   production. Typical systems comprise independent and interchangeable components. Such  
16   components may include testing chambers, pumps, gauges, valves, specimen holders, testing  
17   apparatus, heating systems, and cooling systems.

18          Processes or experiments that require high or ultra-high vacuum (UHV) currently employ  
19   all metal vacuum joints. A typical all-metal joint, such as that disclosed in U.S. Patent  
20   3,208,758, is illustrated in Figure 1. Such a joint comprises a flange 20, illustrated in FIG. 2, that  
21   includes an annular recess 26 and an annular knife edge 30. The flange 20 is configured for  
22   mating with another like flange 24 separated by a soft, metallic gasket 34. The opposing knife

edges 30, 32 are pressed into the gasket 34 by tightening bolts 38 forming the UHV seal.

The force of the equally spaced bolts is transferred to the gasket through the thickness of the flange. The bolt holes are disposed on a diameter that is outside that of the knife edge. If the standard gasket is not of appropriate thickness, the flange will deform in the shape depicted in Figures 3 and 4 between opposing bolts 38. The bowing of the flange occurs due to the moment arm between the knife edge 30, 32 and the bolt 38. This may also create a wave-like deflection between the adjacent bolt holes. This shape is depicted in Figures 3 and 4. In the case of such a deflection or deformation, the gasket may leak if the force placed on the gasket between the adjacent bolts is less than the force required to press the knife edges sufficiently into the gasket to form a seal. Only an appropriate thickness of the flange provides resistance to bending deformation.

In UHV systems, the level of vacuum attainable is dependant upon the speed of the vacuum pumps, the leak rates of the vacuum joints and vacuum walls, the surface area of the chamber and pumping lines, and the surface roughness of the interior components. Cleanliness or purity of the vacuum environment depends upon the interior component's material, forming method, and surface finish. Additionally, the practicality of a vacuum system depends on the ease of access for changing specimens, the required downtime to troubleshoot and to make repairs, and the ease with which components can be added and removed from the system. The expense of a vacuum system lies in the cost of components, the required pump types and speeds, as well as the number and type of extra adapters needed to attach components to the system. Methods of accurately placing testing apparatus, processing equipment, or samples within a system are often required for an experiment or process. The prior art flanges, such as the one

1 discussed above, do not completely optimize some of these requirements in some cases.

2 Methods for inserting or mounting apparatus in a vacuum system currently involve one of  
3 several options, all of which significantly increase size and/or complexity of the vacuum system.

4 Typical apparatus mounting methods include inserting two thick flanges on each end of an  
5 extruded or welded tube, such as that illustrated in FIG. 5, where the tube or the flanges provide  
6 the mounting structure. Alternately, double-sided couplers, such as that shown in FIG. 5A,  
7 which bolt to the chamber and bolt to the apparatus may be employed. Couplers of this type not  
8 only add significant length and surface area, but also introduce inaccuracies to the system.

9 Adding length and surface area decreases conductance (the ease at which gas can flow through  
10 the system) and increases the likelihood of contaminants in the system. With decreased  
11 conductance, a system will need larger, more expensive pumps in order to achieve the same  
12 vacuum level. The welded flanges have inherently poor parallelism that can cause problems if  
13 accurate placement of a component is required. While an existing system, or part of a system,  
14 can be modified to provide a mounting structure, that system, however, may be too large and  
15 cumbersome to be easily modified.

16 A large component, such as a pump, often cannot be mounted horizontally, or "cantilever  
17 style," from a welded flange coupler without the aid of auxiliary support due to the weakness of  
18 the thin walled extension tube. Thin walled extension tubes also cannot accommodate internal  
19 mounting systems that require mounting grooves, such as those described in Crawford, U.S.  
20 Patent Number 5,593,123, the teachings of which are incorporated herein by reference, in the  
21 extension tube wall.

22 The prior art for providing feed-through from the exterior atmosphere into a vacuum

1 chamber is generally a single flange or welded feed-through. Both of these approaches require  
2 the testing apparatus to be independently mounted within the vacuum chamber and the feed-  
3 through to be mounted on a chamber port. Under these circumstances, attachments, such as  
4 electrical wiring, must be done and redone whenever the apparatus is removed from the system.  
5 Having to needlessly redo complicated connections causes increased system downtime for repairs  
6 or component changes, and also leads to possible errors when re-connections are made.

7 The prior art valves between a vacuum chamber and another component are another  
8 source that can significantly decrease the vacuum system's conductance, and also require  
9 significant space. Generally, a valve is attached to a vacuum system using a coupler, such as that  
10 described previously, having a tapped through-hole. Therefore, anytime a valve is added to the  
11 vacuum system, a bulky coupler must be added, reducing the system's conductance.

### 12 13 **Summary of the Invention**

14 A system for the insertion of components between two standard thickness flanges of a  
15 vacuum system. The system herein comprises a thin flange configured to be disposed between  
16 and seal against two standard flanges of vacuum system components. The thin flange comprises  
17 sealing surfaces on opposed sides of the flange, such that a vacuum tight seal may be achieved  
18 when the thin flange is compressed between the two standard thickness flanges, wherein the thin  
19 flange experiences only symmetrical forces. The sealing surfaces of the thin flange may each  
20 comprise a knife edge disposed within the perimeter of the thin flange, whereby the knife edge  
21 may at least partially penetrate into a metal gasket when the thin flange is clamped between two  
22 standard thickness flanges. Advantageously, the thin flange may be equipped with one or more

1 feed-throughs, openings, and/or mounting features for retaining apparatus in or around the  
2 vacuum system. The symmetry of the forces applied to the thin flange assure that the flange will  
3 not experience any bending, deflection, or distortion.

#### 4 5 **Brief Description of the Drawings**

6 Exemplary embodiments of the invention are set forth in the following description and  
7 shown in the drawings, wherein:

8 FIG. 1 is a perspective view of a prior art all metal joint;

9 FIG. 2 illustrates a prior art seal in cross-sectional view;

10 FIG. 3 shows a distorted prior art flange in cross-sectional view;

11 FIG. 4 is a perspective view of a distorted gasket from a prior art all metal seal;

12 FIGS. 5 and 5A illustrate in perspective view prior art coupling members;

13 FIG. 6 is a perspective view of a thin flange consistent with the present invention;

14 FIG. 7 is a sectional view of the exemplary thin flange consistent with the present  
15 invention illustrated in FIG. 8A taken along cutting line A-A;

16 FIGS. 8 through 8B illustrate an exemplary embodiment of the thin flange consistent with  
17 the present invention;

18 FIG. 9 is a perspective view of an alternate exemplary thin flange consistent with the  
19 present invention;

20 FIG. 10 is a third illustrated exemplary embodiment of the thin flange consistent with the  
21 present invention shown in perspective view.

## Detailed Description of the Invention

Referring to FIGS. 6 through 10, various exemplary embodiments of double-sided "thin flanges" consistent with the present invention are illustrated. It should be understood that the term "thin flange", as used herein, is not so much an absolute dimensional characterization as it is a convenient designation, indicating that the flange is not required to be thick enough to withstand the stress and deflection imposed by the clamping bolts. The thickness of the flange is rather determined primarily by the thickness required to provide the instantly desired mounting characteristics or features - i.e., mounting grooves, threaded bores, feed-throughs, etc., as discussed in the following description of the invention.

Figures 6 through 8B show details of a first exemplary embodiment of a thin flange 40 having a sealing surface 42 to crush a pair of metallic gaskets 44A, 44B for forming an all-metal joint. A plurality of bolt holes 46 are located outside of the perimeter of the sealing surfaces 42 and 50 to provide a method of securing the thin flange 40 to another component 48 with a compatible sealing surface 50 before tightening the bolts 45. The bolt holes 46 provide alignment of the flange 40 relative to the other component 48 prior to sealing. However, once the seal is formed by tightening the bolts 45 and crushing the gaskets 44A and 44B, no support is provided to the flange by the bolts 45. The thickness of the thin flange 40 is optimized to provide adequate strength while maintaining a minimum thickness.

Figure 7 is sectional view of the exemplary thin flange 40 taken along cutting line A-A referred to in Figure 8A. This cross section shows the details of the sealing surfaces 42 and 50 including knife edges. Consistent with the present invention, internal vacuum components may be mounted using equipment-mounting grooves 52. These specific equipment mounting grooves

52 permit the mounting of internal vacuum system components (not shown) as described in Crawford U.S. Patent 5,593,123. As illustrated, the equipment-mounting grooves 52 are disposed in a region of the thin flange 40 located within the perimeter of the mounting surfaces 42 and 50. Accordingly, components may be mounted extending out of the plane of the thin flange 40. Consistent with this configuration, components may be mounted to the vacuum system over a much shorter distance than previously possible because the thin flange 40 eliminates the need for a tube or double-sided coupler or an independent structurally thick double-sided flange. Not only does the decrease in length required to mount components make the system more convenient in space-limited applications, it also increases the conductance of the vacuum system.

Referring to FIG. 8, there is shown an exemplary thin flange 40 mounted between two standard thickness flanges 48 and 54. The two standard thickness flanges 48 and 50 are sealed against respective sides of the thin flange 40 by crush gaskets 44A and 44B. When the system is sealed by tightening the bolts 45, the force exerted on the standard thickness flanges 48 and 54 by the mounting bolts 45 is effectively transferred by the rigid body of the standard thickness flanges 48 and 54 to their respective sealing surfaces and knife edges which crush both metallic gaskets 44A and 44B. This, in turn, causes the crushed gaskets 44A and 44B to bear symmetrically against the inner web 56, which is best identified in FIG 6. Accordingly, the thin flange 40 experiences only symmetrical compressive loading about its thickness. The bolt holes 46 of the thin flange 40 are under zero load. Furthermore, the thin flange 40 is not subject to any bending loads, as may be the case with the standard thickness flanges 48 and 54. This allows the thin flange 40 to be of a minimal thickness, only sufficient to resist the compressive forces and

1 contain the knife edge sealing feature. Accordingly, the inserted member could be a membrane,  
2 window, or small aperture.

3 Turning to FIG. 9, there is illustrated a second exemplary thin flange 64. The second  
4 exemplary thin flange 64 is configured without bolt holes. The thin flange, according to this  
5 embodiment, allows for arbitrary radial alignment to the mating system. The greater flexibility in  
6 radial alignment of the thin flange 64 is capable because placement of the thin flange 64 relative  
7 to the standard thickness flanges (not shown) is not restricted by the need to align bolt holes in  
8 the thin flange 64 with the bolt holes in the standard thickness flanges. The thin flange 64  
9 consistent with this exemplary embodiment is especially beneficial when an instrument or  
10 apparatus mounted to the thin flange must be precisely aligned either within the vacuum system,  
11 or relative to another instrument or apparatus.

12 Figure 10 illustrates in isometric view a third exemplary embodiment of a thin flange 60  
13 consistent with the present invention. According to the third alternate embodiment, the thin  
14 flange 60 comprises a series of mounting holes 62 disposed about the inner web 56, inside the  
15 perimeter of the mounting surfaces of the flange 60. The mounting holes 62 may advantageously  
16 be configured to mount any variety of apparatus inside of the vacuum system. Accordingly, the  
17 mounting holes 62 may be arranged in a pattern that is generic to a variety of equipment, or the  
18 mounting holes 62 may be specially configured for individual pieces of apparatus. By employing  
19 a thin flange 60 as disclosed herein it is possible to align vacuum components and mating interior  
20 system components with a high level of dimensional precision.

21 In each of the above-described embodiments, the thin flange preferably is formed from a  
22 single unitary member. By machining the thin flange, including both of the sealing surfaces,



1 from a single member it is possible to achieve very high tolerances. Additionally, it is possible to  
2 achieve a superior surface finish on the thin flange. This characteristic lends itself to higher  
3 conductance and greater cleanliness of the vacuum system, as well as accurate flange face  
4 parallelism.

5 Consistent with the above teachings, a thin flange of the present invention may be  
6 beneficially employed for mounting equipment within the vacuum system itself, as well as for an  
7 interface connecting items within the vacuum system to the exterior of the vacuum system. An  
8 exemplary application may be to conveniently provide an electrical feed-through for powering an  
9 apparatus inside the vacuum system while still maintaining the "vacuum tight" integrity of the  
10 system. Similarly, the inner web of the thin flange may be equipped with a valve, therein  
11 providing direct communication with interior of the vacuum system without decreasing the  
12 conductance of the system, which does result from typical valve mounting systems disposed on a  
13 couple or tube.

14 Further consistent with the exemplary embodiments illustrated in FIGS. 7 and 10, and  
15 discussed with reference thereto, the thin flange can mount an interior component, such as an  
16 electron gun, as well as provide an electrical feed-through. This is an improvement over having  
17 the electrical connections on a separate port of the vacuum chamber, as is conventionally the  
18 case. The advantage is that the connection does not need to be done at the location of the  
19 vacuum system since the component can be mounted within the thin flange and the electrical  
20 connections may be made as an independent subsystem. Should the component need to be  
21 removed from the vacuum system, the connection would not need to be disassembled and  
22 subsequently reassembled when the component was remounted. This saves time, and may

1 reduce the number of ports required on a vacuum system's main chamber.

2 Further embodiments of the coupling flange obviously include different lengths, different  
3 industry standard flange sizes, different flange geometries, such as oval, rectangular, or other  
4 planar shape, and different interior mounting arrangements. On slightly thicker versions of the  
5 flange, radial ports may be added to increase access to internal components. Along the same  
6 lines, tees and crosses of various sizes can be envisioned. The thin flanges could also be stacked,  
7 with the limit only being the twist up and stretch of the set of bolts.

8 In consideration of the various above-described embodiments and applications consistent  
9 with the present invention, it will be readily appreciated that the thin flanges consistent with the  
10 present invention may advantageously be employed in a stacked manner. Consistent with this, a  
11 plurality of thin flanges may be disposed between two standard thickness flanges, thereby  
12 providing a variety of mounting features, feed-throughs, valves, etc., while requiring only one  
13 port on the vacuum system. Because each of the thin flanges consistent with the present  
14 invention contain two sealing surfaces, any number of thin flanges may be coaxially disposed,  
15 with each pair having a soft metallic gasket disposed therebetween. Furthermore, as in the case  
16 of a single thin flange disposed between two standard thickness flanges, each of the thin flanges  
17 in the above described "stack" will experience only symmetrical forces, generally only  
18 compressive in nature, and therefore will not be subject to distortion or deflection resulting from  
19 the clamping bolts. The exact number of thin flanges which may be stacked together is limited  
20 only the length of the clamping bolts employed with the standard thickness flanges.

21 Accordingly, it will be appreciated that the exemplary embodiments described and  
22 depicted in the accompanying drawings herein are for illustrative purposes only, and should not

1 be interpreted as a limitation. It is obvious that many other embodiments, which will be readily  
2 apparent to those skilled in the art, may be made without departing materially from the spirit and  
3 scope of the invention as defined in the appended claims.

4

FO9207-157400